



Docket #	SR11P011C1/US-3596-3	By:	DPO/tt	Date of this mailing:	November 11, 2003
Appl'n #:	10/047,485	Filing Date:	10/26/2001	Express Mail #	NA
Inv(s)	Ronald E. Pelrine, <i>et al.</i>				
Title:	ELASTOMERIC DIELECTRIC POLYMER FILM SONIC ACTUATOR				

The following have been received in the U.S. Patent Office on the date stamped hereon:

Item	Description	# Pgs
1.	Information Disclosure Statement w/Certificate of Mailing	2
2.	PTO Form 1449 w/15 references cited	2
3.		
4.		
5.		
6.		
7.		
8.		
9.		
10.		



BEYER WEAVER & THOMAS, LLP  
SEEN/CONFIRMED  
BY DOCKETING DEPT.  
DATE: 12/05/03 BY: MT





---

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

---

In re application of: Ronald E. Pelrine, et al.

Attorney Docket No.: SRI1P011C1/US-3596-3

Application No.: 10/047,485

Examiner: Not yet assigned

Filed: October 26, 2001

Group: 2643

Title: ELASTOMERIC DIELECTRIC POLYMER  
FILM SONIC ACTUATOR

---

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first-class mail on November 11, 2003 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

Signed: \_\_\_\_\_

*Tomika Thomas*

Tomika Thomas

**INFORMATION DISCLOSURE STATEMENT**  
**37 CFR §§1.56 AND 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449 may be material to examination of the above-identified patent application. Applicants submit the list of these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application. The above-identified application is a continuation of prior application U.S. Patent Application No. SRI1P011. This prior application is being relied upon for an earlier filing date under 35 U.S.C. § 120. Because the listed references were either cited by the PTO, or submitted to the PTO in the prior application, under 37 CFR § 1.98(d) Applicants submit that copies need not be provided.


This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits, or (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is

believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. SRI1P011C1).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP



David P. Olynick  
Registration No. 48,615

P.O. Box 778  
Berkeley, CA 94704-0778  
(510) 843-6200



<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	<table style="width: 100%;"> <tr> <td style="width: 50%;"> <b>Atty Docket No.</b>            SRIP011C1/US-3596-3         </td> <td style="width: 50%;"> <b>Application No.:</b>            10/047,485         </td> </tr> <tr> <td colspan="2"> <b>Applicant:</b>            Ronald E. Pelrine, <i>et al.</i> </td> </tr> <tr> <td> <b>Filing Date</b>            October 26, 2001         </td> <td> <b>Group</b>            2643         </td> </tr> </table>	<b>Atty Docket No.</b> SRIP011C1/US-3596-3	<b>Application No.:</b> 10/047,485	<b>Applicant:</b> Ronald E. Pelrine, <i>et al.</i>		<b>Filing Date</b> October 26, 2001	<b>Group</b> 2643
<b>Atty Docket No.</b> SRIP011C1/US-3596-3	<b>Application No.:</b> 10/047,485						
<b>Applicant:</b> Ronald E. Pelrine, <i>et al.</i>							
<b>Filing Date</b> October 26, 2001	<b>Group</b> 2643						

#### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A1	3,403,234	09/1968	Barnes, <i>et al.</i>			
	A2	4,400,634	08/1983	Micheron			
	A3	4,762,733	08/09/1988	Thiel, <i>et al.</i>			03/26/1986
	A4	4,843,275	06/1989	Radice, <i>et al.</i>			
	A5	4,885,783	12/1989	Whitehead, <i>et al.</i>			
	A6	4,961,956	10/09/1990	Simopoulos, <i>et al.</i>			05/08/1989
	A7	4,969,197	11/1990	Takaya			
	A8	5,149,514	09/22/1992	Sanjurjo			02/08/1991
	A9	5,156,885	10/20/1992	Budd			04/25/1990

#### Foreign Patent or Published Foreign Patent Application

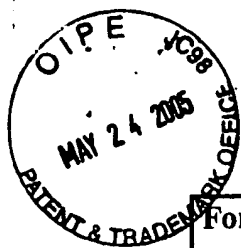
Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No

#### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication

Examiner	Date Considered
----------	-----------------

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	<b>Atty Docket No.</b> SRIP011C1/US-3596-3  <b>Applicant:</b> Ronald E. Pelrine <i>et al.</i>  <b>Filing Date</b> October 26, 2001	<b>Application No.:</b> 10/047,485   <b>Group</b> 2643
--	---	---

#### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- class	Filing Date
	A10	5,171,734	12/15/1992	Sanjurjo, <i>et al.</i>			05/22/1991
	A11	5,206,557	04/1993	Bobbio			
	A12	5,229,979	07/1993	Scheinbeim, <i>et al.</i>			
	A13	5,258,201	11/02/1993	Munn, <i>et al.</i>			09/23/1992
	A14	5,302,318	04/12/1994	Dutta, <i>et al.</i>			07/30/1991
	A15	6,343,129	01/29/2002	Pelrine, <i>et al.</i>			07/19/1999

#### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub- class	Translation	
							Yes	No

#### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.